Clara Benoit-Pilven¹, Camille Marchet², Janice Kielbassa³, Audric Cologne¹, Aurélie Siberchicot¹, and Vincent Lacroix^{*}

¹Université de Lyon, Université Lyon 1, CNRS UMR5558, Laboratoire de Biométrie et Biologie Evolutive, Villeurbanne, France

May 1, 2024

Abstract

kissDE is a package dedicated to the analysis of count data obtained from the quantification of pairs of variants in RNA-Seq data.

It can be used to study splice variants, where the two variants of the pair differ by the inclusion/exclusion of an exonic or intronic region. It can also be used to study genomic variants (whenever they are transcribed), which differ by a single nucleotide variation (SNV) or an indel.

The statistical framework is based on similar hypotheses as *DESeq2* [1] and includes its normalization method using geometric means. Counts are modelled using the negative binomial distribution. We use the framework of the generalised linear model, and we test for association of a variant with a condition using a likelihood ratio test.

This vignette explains how to use this package.

The workflow for SNPs/SNVs is fully described in Lopez-Maestre et al. [2], the workflow for splicing is fully described in Benoit-Pilven et al. [3]

Package

kissDE 1.25.0

Contents

1	Prere	quisites	3
	1.1	Use case	3
	1.2	Install and load kissDE	3
	1.3	Quick start	3
2	kissD	E's workflow	4
	2.1		4
		2.1.1 Condition vector	
		2.1.2 User's own data (without <i>KisSplice</i>): table of counts format	
		2.1.3 Input table from <i>KisSplice</i> output	6

²Univ Rennes, Inria, CNRS, IRISA, France

³Synergie Lyon Cancer, Université Lyon 1, Centre Léon Berard, Lyon, France

^{*}vincent.lacroix@univ-lyon1.fr

		2.1.4 Input table from <i>KisSpiice2retgenome</i> output 9							
	2.2	Quality Control							
	2.3	Differential analysis							
	2.4	Output results							
		2.4.1 Final table. 12 2.4.2 f/PSI table. 14							
3	kissDE's theory								
	3.1	Normalization							
	3.2	Estimation of dispersion							
	3.3	Pre-test filtering							
	3.4	Model fitting							
	3.5	Likelihood ratio test							
	3.6	Flagging low counts							
	3.7	Magnitude of the effect							
4	Case studies								
	4.1	Application of <i>kissDE</i> to alternative splicing							
		4.1.1 Dataset							
		4.1.2 Load data							
		4.1.3 Quality control							
		4.1.4 Differential analysis. 19 4.1.5 Export results 21							
		4.1.6 Explore results							
		4.1.7 One command to rule them all							
	4.2	Application of <i>kissDE</i> to SNPs/SNVs							
		4.2.1 Dataset							
		4.2.2 Load data							
		4.2.3 Quality control							
		4.2.4 Differential analysis							
		4.2.5 Export results							
		4.2.6 Explore results							
	4.3	Time / Requirements							
5	Sessi	on info							
9	00001	OII IIIIO							

1 Prerequisites

1.1 Use case

kissDE is meant to work on pairs of variants that have been quantified across different conditions. It can deal with single nucleotide variations (SNPs, mutations, RNA editing), indels or alternative splicing.

As *kissDE* was first designed to be a brick of the *KisSplice* [4] pipeline (web page: http://kissplice.prabi.fr/), the kissplice2counts function can be directly applied to the output files from *KisSplice* or *KisSplice2refgenome*. Yet, *kissDE* can also run with any other software which produces count data as long as this data is properly formatted.

kissDE was designed to work with at least two replicates for each condition, which means that the minimal input contains the read counts of the variants for 4 different samples, each couple representing a biological condition and its 2 replicates. There can be more replicates and more conditions, but it is not mandatory to have an equal number of replicates in each condition.

1.2 Install and load kissDE

In a R session, the *BiocManager* package has first to be installed.

```
install.packages("BiocManager")
```

Then, the kissDE package can be installed from Bioconductor and finally loaded.

```
BiocManager::install("kissDE")
```

library(kissDE)

1.3 Quick start

Here we present the basic *R* commands for an analysis with *kissDE*. These commands require an external output file of *KisSplice*, for example 'output_kissplice.fa' (which is not included in this package). To deal with other types of input files, please refer to section 2.1. The funtions used in *kissDE* are *kissplice2counts*, *qualityControl*, *diffExpressed Variants* and *writeOutputKissDE*. For each function, default values of the parameters are used. For more details on functions and their parameters see section 2. Here we assume that there are two conditions (*condition*_1 and *condition*_2) with two biological replicates and we also assume that the RNA-Seq libraries are single-end.

```
counts <- kissplice2counts("output_kissplice.fa")
conditions <- c(rep("condition_1", 2), rep("condition_2", 2))
qualityControl(counts, conditions)
results <- diffExpressedVariants(counts, conditions)
writeOutputKissDE(results, output = "kissDE_output.tab")</pre>
```

Note that the functions kissplice2counts and diffExpressedVariants may take some time to run (see section 4.3 for more details on running time).

2 kissDE's workflow

In this section, the successive steps and functions of a differential analysis with kissDE are described.

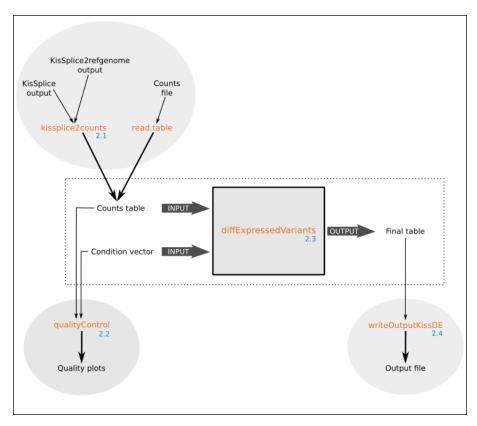


Figure 1: Schema of kissDE's workflow. Numbers in light blue point to the section of this vignette explaining the step.

2.1 Input data

kissDE's input is a table of raw counts and a vector describing the number of conditions and replicates per condition. The table of raw counts can either be directly provided by the user or obtained with KisSplice or KisSplice2refgenome (http://kissplice.prabi.fr/training/).

2.1.1 Condition vector

The condition vector describes the order of the columns in the count table.

As an example, the counts are ordered as follow: the two first counts represent the two replicates of $condition_1$ and the two following counts the two replicates of $condition_2$. In this case, the condition vector for these 2 conditions with 2 replicates per condition, would be:

myConditions <- c(rep("condition_1", 2), rep("condition_2", 2))</pre>

In the case where the input data contains more than 2 conditions, we advise the user to remove samples from the analysis in order to compare 2 conditions only, because *kissDE* was uniquely tested in this context. To remove samples from the analysis the "*" character can be used:

```
myConditionsRm <- c(rep("condition_1", 2), rep("*", 2), rep("condition_3",
2))</pre>
```

Here, there are 3 conditions and 2 replicates per condition, but only *condition_1* and *condition_3* will be considered in the analysis.

If the count table was loaded from *KisSplice* or *KisSplice2refgenome* output, the condition vector must contain the samples in the same order they were given to *KisSplice* (see sections 2.1.3 and 2.1.4).

Warning: To run kissDE, all conditions must have replicates. So each condition must at least be present twice in the condition vector. If this is not the case, an error message will be printed.

2.1.2 User's own data (without *KisSplice*): table of counts format

Let's assume we work with two conditions ($condition_1$ and $condition_2$) and two replicates per condition. An input example table contained in a flat file called 'table_counts_alt_splicing.txt' is loaded and stored in a tableCounts object.

Comment: fpath1 contains the absolute path of the file on the user's hard disk.

```
fpath1 <- system.file("extdata", "table_counts_alt_splicing.txt",
    package = "kissDE")
tableCounts <- read.table(fpath1, head = TRUE)</pre>
```

In kissDE, the table of counts must be formatted as follows:

```
head(tableCounts)
  eventsName eventsLength cond1rep1 cond1rep2 cond2rep1 cond2rep2
1
      event1
                       261
                                  105
                                              41
                                                         15
                                                                    26
                                               5
2
      event1
                        81
                                    2
                                                        100
                                                                   150
3
                       207
                                   20
                                              17
      event2
                                                         60
                                                                    58
4
      event2
                        80
                                   58
                                              33
                                                          7
                                                                     1
                                                                    29
5
                       268
                                   53
                                              26
                                                         19
      event3
      event3
                        82
                                    3
                                               1
                                                         31
                                                                    55
```

It must be a data frame with:

in rows:

- One variation is represented by two lines, one for each variant. For instance, for SNVs, one allele is described in the first line, and the other in the second line. For alternative splicing events, the inclusion isoform and the exclusion isoform have one line each.
- The header must contain the column names in the flat file.

in columns:

• The first column (eventsName) contains the name of the variation.

 The second column (eventsLength) contains the effective size of the variant in nucleotides (bp). The effective size corresponds to the number of read mapping positions used when estimating the abundance of a variant.
 For the exclusion variant (2nd line), which should correspond to an exon-exon

For the exclusion variant (2nd line), which should correspond to an exon-exon junction, it corresponds to:

```
effectiveLengthExclu = readLength - 2 * overhang + 1
```

where *overhang* corresponds to the minimal number of bases needed to accept that a read is aligned to a junction.

For the inclusion variant (1st line), it corresponds to:

```
effectiveLengthInclu = effectiveLengthExclu + variablePartLength 2
```

where variablePartLength is the length of the region only present in the inclusion variant.

In the special case where the abundance of the inclusion variant has been estimated using only junction reads, then the effective length of the inclusion variant is:

$$effectiveLengthInclu = 2 * effectiveLengthExclu$$

This information is used only in the context of alternative splicing. In the context of SNVs, it can be set to 0. It is used to assess which splice variants may induce a frameshift (the difference of length between the inclusion and exclusion variant is not a multiple of 3). It is also used to precisely estimate the PSI (Percent Spliced In).

• All other columns (cond1rep1, cond1rep2, cond2rep1, cond2rep2) contain read counts of a variant in a sample. In the example above, cond1rep1 is the number of reads supporting this variant in the first replicate of condition_1, cond1rep2 is the number of reads supporting replicate 2 in condition_1, cond2rep1 and cond2rep2 are counts for replicates 1 and 2 of condition_2.

2.1.3 Input table from *KisSplice* output

kissDE was developed to deal with *KisSplice* output, which is in fasta format. Below is the first four lines of an example of *KisSplice* output:

```
headfasta <- system.file("extdata",
    "head_output_kissplice_alt_splicing_fasta.txt", package = "kissDE")
writeLines(readLines(headfasta))</pre>
```

>bcc_68965|Cycle_4|Type_1|upper_path_length_112|AS1_1|SB1_1|S1_0|ASSB1_0|AS2_0|SB2_0|S2_0|ASSB2_0|AS3_0|S3_0|ASSB3_0|AS4_1|SB4_0|S4_0|ASSB4_0|AS5_8|SB5_2|S5_0|ASSB5_1|AS6_13|SB6_4|S6_0|ASSB6_3|AS7_4|SB7_1|S7_0|ASSB7_1|AS8_3|SB8_1|S8_0|ASSB8_0|rank_0.76503

>bcc_68965|Cycle_4|Type_1|lower_path_length_82|AB1_21|AB2_12|AB3_12|AB4_2|AB5_5 |AB6_1|AB7_2|AB8_1|rank_0.76503

Events are reported in blocks of 4 lines, the first two lines correspond to one variant of the splicing event (or one allele of the SNV), the following two lines correspond to the other variant (or the other allele). As for all fasta file, there is a header line beginning with the symbol and a line with the sequence. Each variant correspond to one entry in the fasta file.

Headers contain information used in kissDE. In the example, there are:

- elements shared by the headers of the two variants:
 - bcc_68965|Cycle_4 is the event's ID.
 - Type_1 means that the sequences correspond to a splicing event. Type_0 corresponds to SNVs.
- elements that are specific to a variant:
 - upper_path_length_112 and lower_path_length_82 gives the length of the nucleotide sequences. Upper path and lower path are a denomination for the representation of each variant in KisSplice's graph. For alternative splicing events, the upper path represents the inclusion isoform and the lower path the exclusion isoform.
 - AS1_1|SB1_1|S1_0|ASSB1_0|AS2_0|SB2_0|S2_0|ASSB2_0|AS3_0| SB3_0|... and AB1_21|AB2_12|AB3_12|AB4_2|AB5_5|... summarizes the counts found by *KisS-plice* quantification step. Here *KisSplice* was run with the option counts set to 2. For the upper path, we have 4 counts for each sample: AS, SB, S and ASSB. For the lower path, we have 1 count per sample: AB. The different reads categories are shown on Figure 2. There are 8 sets of counts because we gave 8 files in input to *KisSplice* (denotated by the number before the "_" character). Each count (denotated by the number after the "_" character) corresponds to the reads coming from each file that could be mapped on the variant, in the order they have been passed to *KisSplice*.
- a rank information which is a deprecated measure.

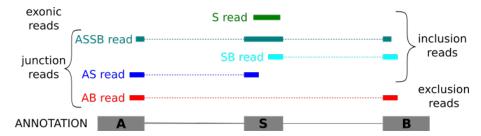


Figure 2: Different categories of reads. In this figure, we show an example of an alternative skipped exon. AS reads correspond to reads spanning the junction between the excluded sequence and its left flanking exon, SB to reads spanning the junction between the excluded sequence and its right flanking exon, ASSB to reads spanning the two inclusion junctions, S to reads entirely included in the alternative sequence and AB to reads spanning the junction between the two flanking exons. S reads correspond to exonic reads and all other categories of reads represented here correspond to junction reads.

kissDE can be used on any type of events output by KisSplice (0: SNV, 1: alternative splicing events, 3: indels,...). The user should refer to KisSplice manual (http://kissplice.prabi.fr/documentation/) for further questions about the KisSplice format and its output.

To be used in *kissDE*, *KisSplice* output must be converted into a table of counts. This can be done with the *kissplice2counts* function. In the example below, the *KisSplice* output file called 'output_kissplice_alt_splicing.fa', included in the *kissDE* package, is loaded. The table of counts yielded by the *kissplice2counts* function is stored in myCounts.

Comment: fpath2 contains the absolute path of the file on the user's hard disk.

```
fpath2 <- system.file("extdata", "output_kissplice_alt_splicing.fa",
package = "kissDE")
myCounts <- kissplice2counts(fpath2, pairedEnd = TRUE)
```

The counts returned by kissplice2counts are extracted from the KisSplice header. By default, kissplice2counts expects single-end reads and one count for each variant.

The counts parameter of kissplice2counts must be the same as the counts parameter used to obtain data with *KisSplice*. The possible values are 0, 1 or 2. 0 is the default value for both kissplice2counts and *KisSplice*.

The user can also specify the pairedEnd parameter in kissplice2counts. If RNA-Seq libraries are paired-end, pairedEnd should be set to TRUE. In this case, the kissplice2counts function expects the counts of the paired-end reads to be next to each other. If it is not the case, an additional order parameter should be used to indicate the actual order of the counts. For instance, if the experimental design is composed of two conditions with two paired-end replicates and if the input in KisSplice followed this order:

```
cond1_sample1_readpair1, cond1_sample2_readpair1, cond2_sample1_readpair1, cond2_sample2_readpair2, cond1_sample1_readpair2, cond1_sample2_readpair2, cond2_sample1_readpair2 and cond2_sample2_readpair2.
```

The order vector should be equal to c(1,2,3,4,1,2,3,4).

An example of a paired-end dataset run with counts equal to 0 is shown in section 4.2.

kissplice2counts returns a list of four elements, including countsEvents which contains the table of counts required in *kissDE*.

```
names(myCounts)
[1] "countsEvents"
                       "psiInfo"
                                          "exonicReadsInfo" "k2rgFile"
head(myCounts$countsEvents)
        events.names events.length counts1 counts2 counts3 counts4
1 bcc_68965|Cycle_4
                                112
                                          2
                                                           8
                                                                   3
                                                   0
2 bcc_68965|Cycle_4
                                 82
                                         33
                                                  14
                                                           6
                                                                   3
3 bcc_83285|Cycle_2
                                180
                                         11
                                                   8
                                                          26
                                                                  32
4 bcc_83285|Cycle_2
                                          2
                                                         100
                                                                  150
                                 81
                                                   5
                                                                   8
5 bcc_161433|Cycle_2
                                127
                                          0
                                                   0
                                                          13
6 bcc_161433|Cycle_2
                                 80
                                         58
                                                  33
                                                                   1
```

myCounts\$countsEvents has the same structure as the tableCounts object in the section 2.1.2. It is a data frame with:

• in rows: One variation is represented by two lines, one for each variant. For instance for SNVs, one allele is described in the first line and the other in the second line. For alternative splicing events (as in this example), the inclusion and the exclusion isoform have one line each.

in columns:

- The first column (events.names) contains the name of the variation, using KisSplice notation.
- The second column (events.length) contains the size of the variant in bp, extracted from the KisSplice header.
- All others columns (counts1, counts2, counts3, counts4) contain counts for each replicate in each condition for the variant.

2.1.4 Input table from *KisSplice2refgenome* output

The kissplice2counts function can also deal with KisSplice2refgenome output data, in this case the k2rg parameter has to be set to TRUE. KisSplice2refgenome allows the annotation of the alternative splicing events. It assigns each event a gene and a type of alternative splicing event, among which: Exon Skipping (ES), Intron Retention (IR), Alternative Donor (AltD), Alternative Acceptor (AltA). Interested users should refer to KisSplice2refgenome manual for further questions about KisSplice2refgenome format and output (http://kissplice.prabi.fr/tools/kiss2refgenome/).

In the example below, 'output_k2rg_alt_splicing.txt', a *KisSplice2refgenome*'s output included in the *kissDE* package, is loaded. The <u>kissplice2counts</u> function uses the same counts and pairedEnd parameters as explained in the section 2.1.3. The table of counts yielded by the <u>kissplice2counts</u> function is stored in myCounts_k2rg. It has exactly the same structure as detailed in section 2.1.3.

Comment: fpath3 contains the absolute path of the file on the user's hard disk.

```
fpath3 <- system.file("extdata", "output_k2rg_alt_splicing.txt",</pre>
     package = "kissDE")
 myCounts_k2rg <- kissplice2counts(fpath3, pairedEnd = TRUE, k2rg = TRUE)</pre>
 names(myCounts_k2rg)
[1] "countsEvents"
                                           "exonicReadsInfo" "k2rgFile"
                       "psiInfo"
 head(myCounts_k2rg$countsEvents)
        events.names events.length counts1 counts2 counts3 counts4
1 bcc_162707|Cycle_0
                                 190
                                          10
                                                   13
                                                            10
                                                                    19
2 bcc_162707|Cycle_0
                                         129
                                                  145
                                                                   101
                                  80
                                                           120
3 bcc_132936|Cycle_3
                                 245
                                         253
                                                  240
                                                           112
                                                                   173
4 bcc_132936|Cycle_3
                                  81
                                           21
                                                    5
                                                             3
                                                                     4
5 bcc_100903|Cycle_0
                                 183
                                            5
                                                    3
                                                             1
                                                                     4
6 bcc_100903|Cycle_0
                                  81
                                         503
                                                  231
                                                           134
                                                                   175
```

The *KisSplice2refgenome* output contains information about the type of splicing events. By default, all of the splicing events are analysed in *kissDE*, but it is also possible to focus on subtypes of events. This events selection will speed up *kissDE*'s running time and improve statistical power for choosen events. To do this, the *kissplice2counts* function contains two parameters: keep and remove. Both take a character vector indicating the types of events to keep or remove. The event names must be part of this list: deletion, insertion, IR, ES, altA, altD, altAD, indel, -.

Thus, if the user is only interested in intron retention events, the keep option should be set to c("IR"). If the user isn't interessed in deletions and insertions, the remove option should be equal to c("insertion", "deletion").

The keep and remove parameters can be used at the same time only if ES is part of the keep vector. The remove vector will then act on the different types of exon skipping: multi-exon skipping (MULTI) or exon skipping associated with an alternative acceptor site (altA), an alternative donor site (altD), both alternative acceptor and donor site (altAD) or any of the alt combinaison with MULTI. Thus, in this specific case, the remove vector should contain names from this list: MULTI, altA, altD, altAD, MULTI_altA, MULTI_altD, MULTI_altAD.

If the user wants to analyse only cassette exon events (i.e., a single exon is skipped or included), the following command should be used:

```
myCounts_k2rg_ES <- kissplice2counts(fpath3, pairedEnd = TRUE,
k2rg = TRUE, keep = c("ES"), remove = c("MULTI", "altA",
"altD", "altAD", "MULTI_altA", "MULTI_altD", "MULTI_altAD"))
```

2.2 Quality Control

kissDE contains a function that allows the user to control the quality of the data and to check if no error occured at the data loading step. This data quality assessment is essential and should be done before the differential analysis.

The qualityControl function takes as input a count table (see sections 2.1.2, 2.1.3 and 2.1.4) and a condition vector (see section 2.1.1):

```
qualityControl(myCounts, myConditions)
```

It produces 2 graphs:

- a heatmap of the sample-to-sample distances using the 500 most variant events (see left panel of Figure 3)
- the factor map formed by the first two axes of a principal component analysis (PCA) using the 500 most variant events (see right panel of Figure 3)

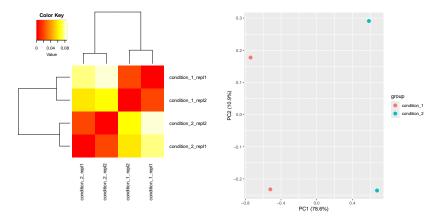


Figure 3: Quality control plots. Left: Heatmap of the sample-to-sample distances. Right: Principal Component Analysis.

These two graphs show the similarities and the differences between the analyzed samples. Replicates of the same condition are expected to cluster together. If this is not the case, the user should check if the order of the samples in the count table and in the condition vector is the same. If it is, this could mean that a sample is contaminated or has an abnormality that will influence the differential analysis. The user can then go back to the quality control of the raw data to solve the problem or decide to remove the sample from the analysis.

In the heatmap plot, the samples that cluster together are from the same condition. In the PCA plot, the first principal component (PC1) summarize 90.2% of the total variance of the dataset. This first axis clearly separates the 2 conditions.

The created graphs can be saved by setting the storeFigs parameter of the qualityControl function to TRUE (then graphs are stored in a 'kissDEFigures' folder, created in a temporary directory, which is removed at the end of the user R session) or to the path where the user wants to store his/her graphs. We recommend to use this parameter when the qualityControl function is used in an automatized workflow.

To customize the PCA plot, the data frame used for this plot can be extracted by setting the option returnPCAdata to TRUE as follows:

PCAdata <- qualityControl(myCounts, myConditions, returnPCAdata = TRUE)

2.3 Differential analysis

When data are loaded, the differential analysis can be run using the diffExpressedVariants function. This function has two mandatory parameters: a count table (countsData parameter, see sections 2.1.2, 2.1.3 and 2.1.4) and a condition vector (conditions parameter, see section 2.1.1).

In the example below, the differential analysis results are stored in the myResults object:

The diffExpressedVariants function has three parameters to change the filters or the flags applied on the data, one parameter to indicate if the replicates are technical or biological, and one parameter to indicate how many cores should be used:

- pvalue: By default, the p-value threshold to output the significant events is set to 1. So all variants are output in the final table. This parameter must be a numeric value between 0 and 1. Be aware that by setting pvalue to 0.05, only events that have been identified as significant between the conditions with a false discovery rate (FDR) \leq 5% will be present in the final table. A posteriori changing this threshold will require to re-run the differential analysis.
- filterLowCountsVariants: This parameter allows to change the threshold to filter low expressed events before testing (as explained in section 3.3). By default, it is set to 10.
- flagLowCountsConditions: This parameter allows to change the threshold to flag low expressed events (as explained in section 3.6). By default, it is set to 10.
- technicalReplicates: Boolean value indicating if the user is working with technical replicates only (we do not advise users to mix biological and technical replicates in their analyses). If this parameter is set to TRUE, the counts will be modeled with a Poisson distribution. If it is equal to FALSE, the counts will be modeled with a Negative Binomial distribution. For more information, see section 3.2. By default, this option is set to FALSE.
- nbCore: An integer value indicating how many cores should be used for the computation. This parameter should be strictly lower than the number of core of the computer (nbCore < nbr computer cores -1). By default, this parameter is set to 1, meaning that the computation are not parallelized.

The diffExpressedVariants function returns a list of 6 objects:

```
names(myResults)
[1] "finalTable" "correctedPVal" "uncorrectedPVal"
[4] "resultFitNBglmModel" "f/psiTable" "k2rgFile"
```

The uncorrectedPVal and correctedPval outputs are numeric vectors with p-values before and after correction for multiple testing. resultFitNBglmModel is a data frame containing the results of the fitting of the model to the data. k2rgFile is a string containing either the KisSplice2refgenome file path and name or NULL if no KisSplice2refgenome file was used as input. For explanations about the finalTable and f/psiTable outputs, see section 2.4.1 and section 2.4.2, respectively.

To visualize the distribution of the p-values before the application of the Benjamini-Hochberg [5] multiple testing correction procedure, the histogram of the p-values before correction can be plotted by using the following command:

```
hist(myResults$uncorrectedPVal, main = "Histogram of p-values",
    xlab = "p-values", breaks = 50)
```

Because the dataset used here is small (\sim 100 lines), the histograms of the two complete datasets presented in the case studies (section 4) are represented. As expected, the histograms show a uniform distribution with a peak near 0 (Figure 4).

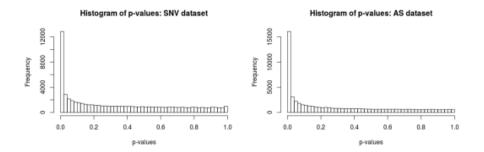


Figure 4: Distribution of p-values before correction for multiple testing. Left: for the complete dataset presented in section 4.2. Right: for the complete dataset presented in section 4.1.

2.4 Output results

2.4.1 Final table

The finalTable object is the main output of the diffExpressedVariants function. The first 3 rows of the myResults\$finalTable output are as follows:

```
print(str(myResults))
List of 6
$ finalTable :'data.frame': 106 obs. of 13 variables:
..$ ID : chr [1:106] "bcc_135201|Cycle_433392" "bcc_144389|Cycle_0" "bcc_13520"
..$ Length_diff : num [1:106] 104 12 104 1096 183 ...
..$ Variant1_condition_1_repl1_Norm: num [1:106] 60 0 60 3696 117 ...
..$ Variant1_condition_1_repl2_Norm: num [1:106] 37 9 35 2799 234 ...
```

```
..$ Variant1_condition_2_repl1_Norm: num [1:106] 27 92 31 1158 270 ...
  ..$ Variant1_condition_2_repl2_Norm: num [1:106] 32 143 38 1236 294 ...
  ..$ Variant2_condition_1_repl1_Norm: num [1:106] 2 17 2 76 32 17 8 2 3 39 ...
  ..$ Variant2_condition_1_repl2_Norm: num [1:106] 1 10 1 25 30 24 9 1 6 24 ...
  ..$ Variant2_condition_2_repl1_Norm: num [1:106] 30 7 32 199 6 18 36 9 7 20 ...
  ..$ Variant2_condition_2_repl2_Norm: num [1:106] 59 17 59 272 4 17 18 2 13 44 ...
  ..$ Adjusted_pvalue
                                     : 'pval' num [1:106] 5.88e-15 2.05e-12 5.88e-14 0.00 7.04e-09 ...
  ..$ Deltaf/DeltaPSI
                                     : num [1:106] -0.698 0.68 -0.674 -0.565 0.333 ...
  ..$ lowcounts
                                     : logi [1:106] FALSE FALSE FALSE FALSE FALSE ...
                    : 'pval' Named num [1:106] 2.36e-04 2.17e-05 7.85e-15 5.88e-14 5.88e-15 ...
$ correctedPVal
 ... attr(*, "names")= chr [1:106] "bcc_68965|Cycle_4" "bcc_83285|Cycle_2" "bcc_161433|Cycle_2" "bcc_135201
$ uncorrectedPVal : 'pval' Named num [1:106] 2.00e-05 1.64e-06 2.22e-16 2.22e-15 1.11e-16 ...
  ... attr(*, "names")= chr [1:106] "bcc_68965|Cycle_4" "bcc_83285|Cycle_2" "bcc_161433|Cycle_2" "bcc_135201
                                            106 obs. of 7 variables:
 $ resultFitNBglmModel:'data.frame':
  ...$ I vs A: num [1:106] 2.00e-05 1.64e-06 2.22e-16 2.22e-15 1.11e-16 ...
  ..$ bicA : num [1:106] 59.5 75.9 107.2 118.9 124.1 ...
  ..$ bicI : num [1:106] 43.3 55 41.8 58 57.6 ...
  ..$ codeA : num [1:106] 0 0 0 0 0 0 0 0 0 ...
  ..$ codeI : num [1:106] 0 0 0 0 0 0 0 0 0 ...
          : num [1:106] 0 0 0 0 0 0 0 0 0 ...
   \dots \$ \ \text{shI} \quad : \ \mathsf{num} \ [1:106] \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ \dots .
$ f/psiTable
                      :'data.frame':
                                            106 obs. of 5 variables:
  ..$ ID
                      : chr [1:106] "bcc_100903|Cycle_0" "bcc_108176|Cycle_0" "bcc_120508|Cycle_0" "bcc_125
 ..$ condition_1_repl1: num [1:106] 0.00442 0.03258 0.93211 0.12935 0.05437 ...
  ..$ condition_1_repl2: num [1:106] 0.00553 0.05826 0.9458 NaN 0.0505 ...
  ..$ condition_2_repl1: num [1:106] 0.00315 0.03281 0.94602 0.01624 0.03273 ...
  ..$ condition_2_repl2: num [1:106] 0.00938 0.02694 0.92338 0.21268 0.03417 ...
$ k2rgFile
                      : NULL
NULL
```

The columns of this table contain the following information:

- ID is the event identifier. Each event is represented by one row in the table.
- Length_diff contains the variable part length in a splicing event. It is the length difference between the upper and lower path. This column is not relevant for SNVs.
- Variant1_condition_1_repl1_Norm and following columns contain the counts for each replicate of each variant after normalization (raw counts are normalized as in the DE-Seq2 Bioconductor R package, see details in section 3.1). The first half of these columns concerns the first variant of each event, the second half the second variant.
- Adjusted_pvalue contains p-values adjusted by a Benjamini-Hochberg procedure.
- Deltaf/DeltaPSI summarizes the magnitude of the effect (see details in section 3.7).
- lowcounts contains booleans which flag low counts events as described in section 3.6.
 A TRUE value means that the event has low counts (counts below the chosen threshold).

In the finalTable output, events are sorted by p-values and then by magnitude of effect (based on their absolute values), so that the top candidates for further investigation/validation appear at the beginning of the output.

Warning: When the p-value computed by kissDE is lower than the smallest number greater than zero that can be stored (i.e., 2.2e-16), this p-value is set to 0.

To save results, a tab-delimited file can be written with writeOutputKissDE function where an output parameter (containing the name of the saved file) is required. Here, the myResults output is saved in a file called 'results_table.tab':

```
writeOutputKissDE(myResults, output = "kissDE_results_table.tab")
```

Users can choose to export only events passing some thresholds on adjusted p-value and/or Deltaf/DeltaPSI using the options adjPvalMax and dPSImin of the writeOutputKissDE function. For example, if we want to save in a file called 'results_table_filtered.tab' only events with the adjusted p-value $\leqslant 0.05$ and the Deltaf/DeltaPSI absolute value $\geqslant 0.10$, the following command can be used:

```
writeOutputKissDE(myResults, output = "kissDE_results_table_filtered.tab",
    adjPvalMax = 0.05, dPSImin = 0.1)
```

If the counts table was built from a *KisSplice2refgenome* output with the **kissplice2counts** function, running the **writeOutputKissDE** will write a file merging results of differential analysis with *KisSplice2refgenome* data. As previously explained (section 2.4.1), users can choose to save only events passing thresholds:

2.4.2 f/PSI table

The f/psiTable output of the diffExpressedVariants function contains the f values for SNV analysis or PSI values for alternative splicing analysis (see details and computation in section 3.7) for each event in each sample. The first three rows of the f/psiTable output of the myResults object (created in the section 2.3) look like this:

This output can be useful to carry out downstream analysis or to produce specific plots (like heatmap on f/PSI events). To use this information with external tools, this table can be saved in a tab-delimited file (here called 'result_PSI.tab'), setting the writePSI parameter to TRUE in the writeOutputKissDE function:

```
writeOutputKissDE(myResults, output = "result_PSI.tab", writePSI = TRUE)
```

3 *kissDE*'s theory

In this section, the different steps of the kissDE main function, diffExpressedVariants, are detailed. They are summarized in the Figure 5.

3.1 Normalization

In a first step, counts are normalized with the default normalization methods provided by the *DESeq2* [1] package. The size factors are estimated using the sum of counts of both variants for each event, which is a proxy of the gene expression. By using this normalization, we correct for library size, because the sequencing depth can vary between samples.

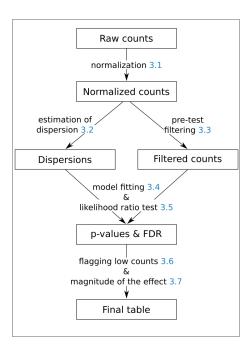


Figure 5: The different steps of the diffExpressedVariants function. Numbers in light blue point to the section of this vignette explaining the step.

3.2 Estimation of dispersion

A model to describe the counts distribution is first chosen. When working with technical replicates (technicalReplicates = TRUE in diffExpressedVariants), the Poisson model (model $\mathcal{M}(\phi=0)$) is chosen in kissDE.

When working with biological replicates (technicalReplicates = FALSE in diffExpressed Variants), the Poisson distribution's variance parameter is in general not flexible enough to describe the data, because replicates add several sources of variance.

This overdispersion is often modeled using a Negative Binomial distribution. In *kissDE*, the overdispersion parameter, ϕ , is estimated using the *DSS R* package [6, 7, 8, 9] (model $\mathcal{M}(\phi = \phi_{DSS}^i)$.

The DSS package (and, to our knowledge, every other package estimating the overdispersion of the Negative Binomial model) is suited for differential expression analysis (one count per sample). In differential splicing and SNV analysis, two counts (one for each splice variant or allele) are associated with each sample. In order to mimic gene expression, the overdispersion parameter ϕ is estimated on the sum of the splice variant or allele counts of each sample.

3.3 Pre-test filtering

If global counts for both variants are too low (option filterLowCountsVariants), the event is not tested. The rationale behind this filter is to speed up the analysis and gain statistical power.

Here we present an example to explain how filterLowCountsVariants option works. Let's assume that there are two conditions and two replicates per condition. filterLowCountsVariants keeps its default value, 10.

	Condition 1		Condition 2		Sum by variant
	replicate 1	replicate 2	replicate 1	replicate 2	
Variant 1	2	1	3	2	2+1+3+2=8 < 10
Variant 2	8	0	1	0	8+0+1+0=9 < 10

Table 1: Example of an event filtered out before the differential analysis, because less than 10 reads support each variant

In this example (Table 1), the two variants have global counts less than 10, this event will be used to compute the overdispersion, but will not be used to compute the models. It will neither appear in the result table.

3.4 Model fitting

Then we design two models to take into account interactions with variants (SNVs or alternative isoforms) and experimental conditions as main effects. We use the generalised linear model framework. The expected intensity λ_{ijk} can be written as follows:

$$\mathcal{M}_i: \log \lambda_{ijk} = \mu + \alpha_i + \beta_j$$

$$\mathcal{M}_{\infty}: \log \lambda_{ijk} = \mu + \alpha_i + \beta_j + (\alpha \beta)_{ij}$$
 5

where μ is the local mean expression of the transcript that contains the variant, α_i the effect of variant i on the expression, β_j the contribution of condition j to the total expression, and $(\alpha\beta)_{ij}$ the interaction term.

To avoid singular hessian matrices while fitting models, pseudo-counts (*i.e.*, systematic random allocation of ones) were considered for variants showing many zero counts.

3.5 Likelihood ratio test

To select between \mathcal{M}_i and \mathcal{M}_{∞} , we perform a Likelihood Ratio Test (LRT) with one degree of freedom. In the null hypothesis $H_0:\{(\alpha\beta)_{ij}=0\}$, there is no interaction between variant and condition. For events where H_0 is rejected, the interaction term is significant to explain the count's distribution, which leads to conclude to a differential usage of a variant across conditions. p-values are then adjusted with a 5% false discovery rate (FDR) following a Benjamini-Hochberg procedure [5] to account for multiple testing.

3.6 Flagging low counts

If in at least n-1 conditions (be n the number of conditions ≥ 2) an event has low counts (option flagLowCountsConditions), it is flagged (TRUE in the last column of the finalTable output).

In the example Table 2, we can see that the counts are quite contrasted, variant 1 seemed more expressed in condition 2 and variant 2 in condition 1. Moreover, this event has enough counts for each variant not to be filtered out when the filterLowCountsVariants parameter is set to 10:

	Condition 1		Condition 2		Sum by variant
	replicate 1	replicate 2	replicate 1	replicate 2	
Variant 1	1	0	60	70	1+0+60+70=131 > 10
Variant 2	5	3	10	20	5+3+10+20=38 > 10
Sum by condition	9 < 10		160 > 10		

Table 2: Example of an event flagged as having low counts, because less than 10 reads support this event in the first condition.

However, in n-1 (here 1) condition, the global count for one condition is less than 10 (9 for condition 1), so flagLowCountsConditions option will flag this event as 'Low_Counts'. This event may be interesting because it has the potential to be found as differential. However, it will be hard to validate it experimentally, because the gene is poorly expressed in condition 1

3.7 Magnitude of the effect

When a gene is found to be differentially spliced between two conditions, or an allele is found to be differentially present in two populations/conditions, one concern which remains is to quantify the magnitude of this effect. Indeed, especially in RNA-Seq, where some genes are very highly expressed (and hence have very high read counts), it is often the case that we detect significant (p-value ≤ 0.05) but weak effects.

When dealing with genomic variants, we quantify the magnitude of the effect using the difference of allele frequencies (f) between the two conditions. When dealing with splicing variants, we quantify the magnitude of the effect using the difference of Percent Spliced In (PSI) between the two conditions. These two measures turn out to be equivalent and can be summarized using the following formula:

$$PSI = f = \frac{\#counts * _variant_1}{\#counts * _variant_1 + \#counts _variant_2}$$
 6

$$\Delta PSI = PSI_{cond1} - PSI_{cond2}$$

$$\Delta f = f_{cond1} - f_{cond2}$$

In this formula, $\#counts*_variant_1$ correspond to the normalized number of reads of the $variant_1$, itself normalized for the variant length. Indeed, by construction, $variant_1$ always have a length greater than or equal to the $variant_2$. That's why we divide the normalized number of reads of the $variant_1$ by the ratio of the length of the $variant_1$ and the $variant_2$.

The $\Delta PSI/\Delta f$ is computed as follows:

- First, individual (per replicate) PSI/f are calculated. If counts for both upper and lower paths are too low (< 10) after normalization, the individual PSI/f are not computed.
- Then mean PSI/f are computed for each condition. If more than half of the individual PSI/f were not calculated at the previous step, the mean PSI/f is not computed either.
- Finally, we output $\Delta PSI/\Delta f$. Unless one of the mean PSI/f of a condition could not be computed, $\Delta PSI/\Delta f$ is calculated subtracting one condition PSI/f from another. $\Delta PSI/\Delta f$ absolute value vary between 0 and 1, with values close to 0 indicating low effects and values close to 1 strong effects. Note that the conditions are ordered alphabetically, and that kissDE substract the condition coming first in the alphabet to the other.

4 Case studies

To detect SNVs (SNPs, mutations, RNA editing) or alternative splicing (AS) in the expressed regions of the genome, *KisSplice* can be run on RNA-seq data. Counts can then be analysed using *kissDE*. We present two distinct case study with *kissDE*: analysis of AS events and analysis of SNVs.

4.1 Application of *kissDE* to alternative splicing

This first example corresponds to the case of differential analysis of alternative splicing (AS) events. The sample data presented here is a subset of the case study used in [3] (http://kissplice.prabi.fr/pipeline_ks_farline/).

4.1.1 Dataset

The data used in this example comes from the ENCODE project [10]. The samples are from a neuroblastoma cell line, SK-N-SH, with or without a retinoic acid treatment. Each condition is composed of two biological replicates. The data are paired-end.

In a preliminary step, *KisSplice* has been run to analyse these two conditions. Results from *KisSplice* (type 1 events) were then mapped to the reference genome with *STAR* [11] and analyzed with *KisSplice2refgenome*. *KisSplice2refgenome* enables to annotate the AS events discovered by *KisSplice*. It assigns to each event a gene and a type of alternative splicing (Exon Skipping (ES), Intron Retention (IR), Alternative Donor (AltD), Alternative Acceptor (AltA), . . .).

For further information on these tools (*KisSplice* and *KisSplice2refgenome*), please refer to the manual that can be found on this web page: http://kissplice.prabi.fr/.

The output file of *KisSplice2refgenome* is a tab-delimited file that stores the annotated alternative splicing events found in the dataset. Below is an extract of this file (the first 3 rows and first 10 columns), where each row is one alternative splicing event of our data:

```
Gene_Id Gene_name Chromosome_and_genomic_position Strand Event_type
ENSG00000066651.18
                      TRMT11
                                       6:125999573-126008430
                                                                             ES
ENSG00000124074.11
                       ENKD1
                                        16:67663517-67666111
                                                                             ES
ENSG00000112146.16
                       FBX09
                                         6:53065752-53071096
                                                                             ES
Variable_part_length Frameshift_? CDS_?
                                          Gene_biotype
                 110
                            False True protein_coding
                 164
                            False True protein_coding
                 102
                             True False protein_coding
             number_of_known_splice_sites/number_of_SNPs
3_ss_annotated_(over_4_ss):125999613,126006954,126008392
                           all_splice_sites_known_(4_ss)
                           all_splice_sites_known_(4_ss)
```

4.1.2 Load data

The kissplice2counts function allows to load directly the KisSplice2refgenome output file (here called 'output_k2rg_alt_splicing.txt') into a format compatible with kissDE's main functions.

Comment: fileInAS contains the absolute path of the file on the user's hard disk.

The k2rg parameter is set to TRUE to indicate that the file comes from *KisSplice2refgenome* and not directly from *KisSplice*. As these samples are paired-end, the pairedEnd parameter is set to TRUE. The counts parameter must be set to the same value (i.e., 2) used in *KisSplice* and *KisSplice2refgenome* to indicate which type of counts are given in the input. Here the exonic reads are not taken into account (exonicReads = FALSE). Only junction reads will be used (see Figure 2).

The table of counts is stored in a myCounts_AS object (for a detailed description of its structure, see section 2.1.4):

```
fileInAS <- system.file("extdata", "output_k2rg_alt_splicing.txt",</pre>
     package = "kissDE")
 myCounts_AS <- kissplice2counts(fileInAS, pairedEnd = TRUE, k2rg = TRUE,
     counts = 2, exonicReads = FALSE)
 head(myCounts_AS$countsEvents)
        events.names events.length counts1 counts2 counts3 counts4
1 bcc_162707|Cycle_0
                                190
                                           4
                                                            4
                                                    8
                                                                   12
2 bcc_162707|Cycle_0
                                 80
                                         129
                                                  145
                                                          120
                                                                   101
3 bcc_132936|Cycle_3
                                245
                                           8
                                                   20
                                                           14
                                                                     8
4 bcc_132936|Cycle_3
                                 81
                                          21
                                                   5
                                                            3
                                                                     4
5 bcc_100903|Cycle_0
                                                            0
                                                                     2
                                183
                                                    2
6 bcc_100903|Cycle_0
                                  81
                                         503
                                                  231
                                                          134
                                                                  175
```

To perform the differential analysis, a vector that describes the experimental plan is needed. In this case study, there are two replicates of the SK-N-SH cell line without treatment (SKNSH) followed by two replicates of the same cell line treated with retinoic acid (SKSNH-RA). So the myConditions_AS vector is defined as follows:

```
myConditions_AS <- c(rep("SKNSH", 2), rep("SKNSH-RA", 2))</pre>
```

4.1.3 Quality control

Before running the differential analysis, we check that the data was loaded correctly, using the qualityControl function.

```
qualityControl(myCounts_AS, myConditions_AS)
```

On both plots returned by the qualityControl function (Figure 6), the replicates of the same condition seem to be more similar between themselves than to the samples of the other condition. On the heatmap (left of Figure 6), the samples of the same condition cluster together. On the PCA plot (right of Figure 6), the first principal component (which summarises 88% of the total variance) clearly discriminates the two conditions.

4.1.4 Differential analysis

The main function of *kissDE*, <u>diffExpressedVariants</u>, can now be run to compute the differential analysis. Outputs are stored in a <u>myResult_AS</u> object (for a detailed description of its structure, see section 2.4.1) and the result for the first three events is given below:

```
myResult_AS <- diffExpressedVariants(myCounts_AS, myConditions_AS)
# head(myResult_AS$finalTable, n = 3)
str(myResult_AS)</pre>
```

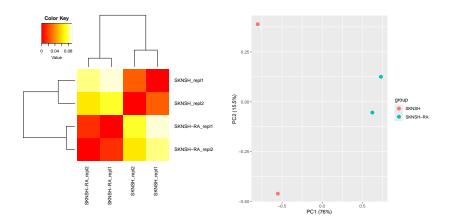


Figure 6: Quality control plots on alternative data. Left: Heatmap of the sample-to-sample distances for the alternative splicing dataset. Right: Principal Component Analysis for the alternative splicing dataset.

```
List of 6
 $ finalTable
                      :'data.frame':
                                            106 obs. of 13 variables:
  ..$ ID
                                  : chr [1:106] "bcc_135201|Cycle_433392" "bcc_144389|Cycle_0" "bcc_135201|C
  ..$ Length_diff
                                  : num [1:106] 104 12 104 372 183 260 75 635 129 97 ...
  ..$ Variant1_SKNSH_repl1_Norm
                                  : num [1:106] 21 0 21 84 54 ...
  ..$ Variant1_SKNSH_repl2_Norm : num [1:106] 11 10 8 66 115 ...
  ..$ Variant1_SKNSH-RA_repl1_Norm: num [1:106] 15 95 19 9 138 ...
  ...$ Variant1_SKNSH-RA_repl2_Norm: num [1:106] 24 144 30 32 135 ...
  ..$ Variant2_SKNSH_repl1_Norm : num [1:106] 2 17 2 15 32 89 18 34 8 792 ...
  ..$ Variant2_SKNSH_repl2_Norm : num [1:106] 1 11 1 25 31 64 24 36 10 416 ...
  ..$ Variant2_SKNSH-RA_repl1_Norm: num [1:106] 31 8 33 14 6 31 18 37 37 144 ...
  ...$ Variant2_SKNSH-RA_repl2_Norm: num [1:106] 59 17 59 46 4 37 17 15 18 142 ...
                                  : 'pval' num [1:106] 1.71e-07 5.40e-11 3.78e-06 3.03e-05 1.64e-07 ...
  ..$ Adjusted_pvalue
  ..$ Deltaf/DeltaPSI
                                  : num [1:106] -0.658 0.649 -0.627 -0.402 0.378 ...
  ..$ lowcounts
                                  : logi [1:106] FALSE FALSE FALSE FALSE FALSE ...
 $ correctedPVal
                     : 'pval' Named num [1:106] 6.10e-01 2.39e-01 9.49e-01 4.82e-01 5.40e-11 ...
  ... attr(*, "names")= chr [1:106] "bcc_162707|Cycle_0" "bcc_132936|Cycle_3" "bcc_100903|Cycle_0" "bcc_1952
 $ uncorrectedPVal : 'pval' Named num [1:106] 2.57e-01 6.54e-02 7.82e-01 1.73e-01 1.02e-12 ...
  ... attr(*, "names")= chr [1:106] "bcc_162707|Cycle_0" "bcc_132936|Cycle_3" "bcc_100903|Cycle_0" "bcc_1952
 $ resultFitNBglmModel:'data.frame':
                                           106 obs. of 7 variables:
  ..$ I vs A: num [1:106] 2.57e-01 6.54e-02 7.82e-01 1.73e-01 1.02e-12 ...
  ..$ bicA : num [1:106] 63.8 56 59.7 51.1 114.5 ...
  ..$ bicI : num [1:106] 64.5 54.6 61.6 51.3 65.7 ...
  ..$ codeA : num [1:106] 0 0 0 0 0 0 0 0 0 ...
  ..$ codeI : num [1:106] 0 0 0 0 0 0 0 0 0 ...
  ..$ shA
           : num [1:106] 0 0 0 0 0 0 0 0 0 0 ...
            : num [1:106] 0 0 0 0 0 0 0 0 0 0 ...
  ..$ shI
                                            106 obs. of 5 variables:
 $ f/psiTable
                      :'data.frame':
                    : chr [1:106] "bcc_100903|Cycle_0" "bcc_108176|Cycle_0" "bcc_120508|Cycle_0" "bcc_12586|
  ..$ ID
  ..$ SKNSH_repl1 : num [1:106] 0.00365 0.02557 0.91602 0.0766 0.0292 ...
  ...$ SKNSH_repl2 : num [1:106] 0.00407 0.02552 0.93035 NaN 0.02795 ...
  ..$ SKNSH-RA_repl1: num [1:106] 0 0.01935 0.93819 0.00719 0.00943 ...
  ..$ SKNSH-RA_repl2: num [1:106] 0.00529 0.01288 0.90885 0.12698 0.01415 ...
 $ k2rgFile
                      : chr "/tmp/RtmpdPEGwo/Rinst7e4745228d03/kissDE/extdata/output_k2rg_alt_splicing.txt"
```

The first event in the <code>myResult_AS</code> output has a very low p-value (Adjusted_pvalue column, less than 2.2e-16) and a very contrasted ΔPSI (Deltaf/DeltaPSI column, equal to -0.804) close to the maximum value (1 in absolute). This gene is differentially spliced. When the SK-N-SH cell line is treated with retinoic acid, the inclusion variant becomes the major isoform.

4.1.5 Export results

In order to facilitate the downstream analysis of the results, two tables are exported: the result table (myResults_AS\$finalTable object, see section 2.4.1) is saved in a 'results_table.tab' file and the PSI table (myResults_AS\$\forall f/psiTable\), see section 2.4.2) is saved in a 'psi_table.tab' file. Here are the commands to carry out this task:

```
writeOutputKissDE(myResults_AS, output = "results_table.tab")
writeOutputKissDE(myResults_AS, output = "psi_table.tab", writePSI = TRUE)
```

4.1.6 Explore results

The writeOutputKissDE function also write an rds file in the output directory, with a .rds extension. This file can be inputed in the exploreResults function in order to explore and plot the results of kissDEthrough a Shiny interface:

```
exploreResults(rdsFile = "results_table.tab.rds")
```

4.1.7 One command to rule them all

All of kissDER command line can be run at once with the kissDE function.

4.2 Application of *kissDE* to SNPs/SNVs

This second example present an analysis of SNPs/SNVs done with *kissDE* on RNA-Seq data from a subset of the case study presented in [2] (http://kissplice.prabi.fr/TWAS/).

The original purpose of this study was to demonstrate that the method can deal with pooled data (i.e. individuals are pooled prior to sequencing). Pooling can be used to decrease the costs. It is also sometimes the only option, when too few RNA is available per individual. The method can in principle be used on unpooled data, polyploid genomes, and for the detection of somatic mutations, but has for now only been evaluated for the detection of SNPs/SNVs in pooled RNAseq data.

In the remaining, we use the term SNV, which designates a variation of a single nucleotide, without any restriction on the frequency of the two alleles. The term SNP is indeed classically used for variants present in at least 1% of a population.

4.2.1 Dataset

The dataset comes from the human GEUVADIS project. Two populations were selected: Toscans (TSC) and Central Europeans (CEU). For each population, we selected 10 individuals, which are pooled in two groups of 5. Each group corresponds to a replicate for *kissDE*. The conditions being compared are the populations.

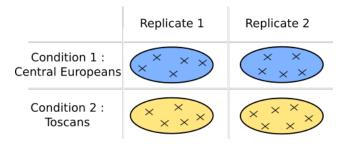


Figure 7: Experimental design of the SNP dataset. Each cross corresponds to an individual.

The data are paired-end. So each sample consists of 2 files. In total, 8 files have been used: 4 files for the two TSC samples and 4 files for the two CEU samples. Paired-end files from a same sample have been given as following each other to *KisSplice*.

KisSplice outputs a fasta file that stores SNVs found in the dataset. Its structure is described in section 2.1.3. The first SNV is presented below:

>bcc_44787|Cycle_421687|Type_0b|upper_path_length_131|C1_455|C2_455|C3_839|C4_848|C5_5|C6_0|C7_39|C8_31|Q1_58|Q2_55|Q3_51|Q4_53|Q5_70|Q6_0|Q7_66|Q8_65|rank_0.97008

CCAGAGAATCGGTCAGGGACCCCTGAGGGCCGCTGATTATTCCTATAGATGAGGAGTTTTGGGGGCCGTTCCTGGGAGCTGCTGGTACCAGTTTACAGTATTACTTCCGATGTTTGGAGCTGCTTCCAGAACA

>bcc_44787|Cycle_421687|Type_0b|lower_path_length_131|C1_12|C2_14|C3_11|C4_11|C5_18|C6_10|C7_4481|C8_4088|Q1_0|Q2_0|Q3_0|Q4_0|Q5_0|Q6_0|Q7_35|Q8_35|rank_0.97008

CCAGAGAATCGGTCAGGGACCCCTGAGGGCCGCTGATTATTACTAGAGAAGAGGAGTTTGGGGGCCGTTCCTGGGAGCTGCTGGTACCAATTTACAGTATTACTTCCGATGTTGGAGCTGCTTCCAGAACA

Events are reported in 4 lines, the two first represent one allele of the SNV, the two last the other allele. Thus the sequences only differ from each other at one position which corresponds to the SNV, here A/C in the center of the sequence (at position 42).

Because KisSplice was run with the default value of the counts parameter (i.e., 0), the counts have the following format $C1_x|C2_y|\dots|Cn_z$. In this example, there are 8 counts because we input 8 files. Each count corresponds to the reads coming from each file that could be mapped on the variant, in the order they have been passed to KisSplice. This information is particularly important in kisSDE since it represents the counts used for the test.

4.2.2 Load data

The first step is to convert this fasta file (here called 'output_kissplice_SNV.fa') into a format that will be used in *kissDE* main functions, thanks to the kissplice2counts function. Comment: fileInSNV contains the absolute path of the file on the user's hard disk. Due to paired-end RNA-Seq data, the pairedEnd parameter was set to TRUE.

This conversion in a table of counts is stored in the myCounts_SNV object (for a detailed description of its structure, see section 2.1.3) and can be done as follows:

```
fileInSNV <- system.file("extdata", "output_kissplice_SNV.fa",</pre>
     package = "kissDE")
 myCounts_SNV <- kissplice2counts(fileInSNV, counts = 0, pairedEnd = TRUE)
 head(myCounts_SNV$countsEvents)
            events.names events.length counts1 counts2 counts3 counts4
1 bcc_44787|Cycle_421687
                                             910
                                     131
                                                     1687
                                                                5
                                                                        70
2 bcc_44787|Cycle_421687
                                     131
                                              26
                                                       22
                                                               28
                                                                      8569
3 bcc_44787|Cycle_421701
                                                                2
                                     139
                                             389
                                                     3349
                                                                       149
4 bcc_44787|Cycle_421701
                                     139
                                               88
                                                       31
                                                               29
                                                                      8821
5
      bcc_100871|Cycle_3
                                     107
                                                0
                                                       10
                                                                 0
                                                                         0
                                                               13
6
      bcc_100871|Cycle_3
                                     107
                                                3
                                                        1
                                                                        10
```

To perform the differential analysis, a vector with the conditions has to be provided. In the example, there are two replicates of TSC and two replicates of CEU, thus the condition vector myConditions_SNV is:

```
myConditions_SNV <- c(rep("TSC", 2), rep("CEU", 2))</pre>
```

4.2.3 Quality control

Before running the differential analysis, we recommand to check if the data was correctly loaded, by running the qualityControl function.

qualityControl(myCounts_SNV, myConditions_SNV)

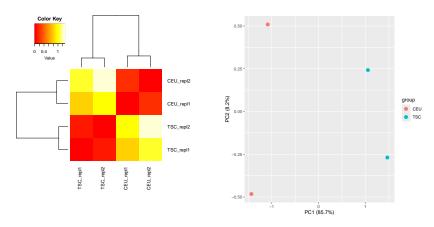


Figure 8: Quality control plots on SNV data. Left: Heatmap of the sample-to-sample distances on SNV data. Right: Principal Component Analysis on SNV data.

On both plots outputed (Figure 8), the replicates of the same condition seem to be more similar between themselves than to the samples of the other condition. On the heatmap (left of Figure 8), the samples of the same condition cluster together. On the PCA plot (right of Figure 8), the first principal component (which summarises 88% of the total variance) clearly discriminates the two conditions.

4.2.4 Differential analysis

The main function of *kissDE*, <u>diffExpressedVariants</u>, can now be run to compute the statistical test.

Outputs are stored in a myResult_SNV object (for a detailed description of its structure, see section 2.4.1) and the result for the first three events is printed:

```
myResult_SNV <- diffExpressedVariants(myCounts_SNV, myConditions_SNV)
str(myResult_SNV)
List of 6
                                           63 obs. of 13 variables:
$ finalTable
                     :'data.frame':
                            : chr [1:63] "bcc_44787|Cycle_320265" "bcc_100871|Cycle_3" "bcc_44787|Cycle_421
  ..$ ID
                            : num [1:63] 0 0 0 0 0 0 0 0 0 0 ...
  ..$ Length_diff
  ..$ Variant1_CEU_repl1_Norm: num [1:63] 2014 0 5 18 3 ...
  ..$ Variant1_CEU_repl2_Norm: num [1:63] 1172 0 72 11 4 ...
  ..$ Variant1_TSC_repl1_Norm: num [1:63] 0 0 959 0 517 3 0 8 5 410 ...
 ..$ Variant1_TSC_repl2_Norm: num [1:63] 2 10 1672 0 310 ...
  ..$ Variant2_CEU_repl1_Norm: num [1:63] 23 12 25 3 828 19 3 0 36 26 ...
  ..$ Variant2_CEU_repl2_Norm: num [1:63] 181 10 8836 1 352 ...
  ..$ Variant2_TSC_repl1_Norm: num [1:63] 179 3 27 6 0 ...
  ..$ Variant2_TSC_repl2_Norm: num [1:63] 853 1 22 11 89 ...
  ..$ Adjusted_pvalue : 'pval' num [1:63] 0.00 3.50e-06 1.69e-05 1.27e-09 0.00 ...
  ..$ Deltaf/DeltaPSI
                            : num [1:63] -0.926 0.909 0.892 -0.887 0.881 ...
                            : logi [1:63] FALSE FALSE FALSE FALSE FALSE ...
 ..$ lowcounts
$ correctedPVal
                   : 'pval' Named num [1:63] 1.69e-05 4.74e-04 3.50e-06 0.00 0.00 ...
 ... attr(*, "names")= chr [1:63] "bcc_44787|Cycle_421687" "bcc_44787|Cycle_421701" "bcc_100871|Cycle_3" "b
$ uncorrectedPVal : 'pval' Named num [1:63] 3.23e-06 1.13e-04 5.55e-07 0.00 0.00 ...
  ... attr(*, "names")= chr [1:63] "bcc_44787|Cycle_421687" "bcc_44787|Cycle_421701" "bcc_100871|Cycle_3" "b
$ resultFitNBglmModel:'data.frame':
                                           63 obs. of 7 variables:
  ..$ I vs A: num [1:63] 3.23e-06 1.13e-04 5.55e-07 0.00 0.00 ...
  ..$ bicA : num [1:63] 133.3 133.3 63.6 345 481.4 ...
 ..$ bicI : num [1:63] 113.6 120.4 40.6 125.3 186.2 ...
  ..$ codeA : num [1:63] 0 0 0 0 0 0 0 0 0 ...
  ..$ codeI : num [1:63] 0 0 0 0 0 0 0 0 0 ...
 ..$ shA : num [1:63] 0 0 0 0 0 0 0 0 0 ...
  ..$ shI : num [1:63] 0 0 0 0 0 1 0 0 0 0 ...
$ f/psiTable
                     :'data.frame':
                                           63 obs. of 5 variables:
              : chr [1:63] "bcc_100871|Cycle_3" "bcc_117336|Cycle_0" "bcc_16074|Cycle_0" "bcc_23079|Cycle_3
  ..$ CEU_repl1: num [1:63] 0 NaN NaN NaN 0.375 ...
 ..$ CEU_repl2: num [1:63] 0 NaN NaN NaN 0.0241 ...
 ..$ TSC_repl1: num [1:63] NaN NaN NaN 0.5 NaN ...
 ..$ TSC_repl2: num [1:63] 0.909 NaN 0.242 0.5 0.929 ...
$ k2rgFile
                     : NULL
```

The first event in the <code>myResult_SNV</code> output has a low p-value (Adjusted_pvalue column, equal to 8.63e-13) and a very high absolute value of Δf (Deltaf/DeltaPSI column, equal to -0.926) close to the maximum value (1 in absolute). This SNP would typically be population specific. One allele is enriched in the Toscan population, the other in the European population.

4.2.5 Export results

We consider as significant the events that have an adjusted p-value lower than 5%, so we set adjPvalMax = 0.05. Results passing this threshold are saved in a 'final_table_significants.tab' file, with the writeOutputKissDE function, as follows:

```
writeOutputKissDE(myResults_SNV, output = "final_table_significants.tab",
    adjPvalMax = 0.05)
```

4.2.6 Explore results

The writeOutputKissDE function also write an rds file in the output directory, with a .rds extension. This file can be inputed in the exploreResults function in order to explore and plot the results of kissDEthrough a Shiny interface:

```
exploreResults(rdsFile = "final_table_significants.tab.rds")
```

4.2.7 One command to rule them all

All of kissDER command line can be run at once with the kissDE function.

4.3 Time / Requirements

The statistical analysis function (diffExpressedVariants) is the most time-consuming steps. Here is an example of the running time of this function on the two complete datasets presented in the case studies(section 4). The time presented were evaluated on a desktop computer with the following caracteristics: Intel Core i7, CPU 2,60 GHz, 16G RAM.

Dataset	Options	Number of events	Running time of diffExpressedVariants	
AS data	counts=2, pairedEnd=TRUE k2rg=TRUE	59132	17m	
SNV data	<pre>counts=0, pairedEnd=TRUE</pre>	64824	18m	

Table 3: Profiling. Running time of the principal function of *kissDE* (diffExpressedVariants) for two datasets (AS dataset from the ENCODE project [10] described in section 4.1 and SNV dataset from the GEUVADIS project [12] described in section 4.2).

To reduce even more the running time of diffExpressedVariants, the parameter nbCore can be used to parallelize the most time-consuming step of this function (for more detailed explanation on this parameter see section 2.3).

5 Session info

```
sessionInfo()
R version 4.4.0 RC (2024-04-16 r86468)
Platform: x86_64-pc-linux-gnu
Running under: Ubuntu 22.04.4 LTS
Matrix products: default
BLAS: /home/biocbuild/bbs-3.20-bioc/R/lib/libRblas.so
LAPACK: /usr/lib/x86_64-linux-gnu/lapack/liblapack.so.3.10.0
locale:
 [1] LC_CTYPE=en_US.UTF-8
                                LC_NUMERIC=C
 [3] LC_TIME=en_GB
                                LC_COLLATE=C
 [5] LC_MONETARY=en_US.UTF-8
                                LC_MESSAGES=en_US.UTF-8
 [7] LC_PAPER=en_US.UTF-8
                                LC_NAME=C
 [9] LC_ADDRESS=C
                                LC_TELEPHONE=C
[11] LC_MEASUREMENT=en_US.UTF-8 LC_IDENTIFICATION=C
time zone: America/New_York
tzcode source: system (glibc)
attached base packages:
[1] stats
              graphics grDevices utils
                                             datasets methods
                                                                 base
other attached packages:
[1] kissDE_1.25.0
loaded via a namespace (and not attached):
  [1] bitops_1.0-7
                                  bsseq_1.41.0
  [3] permute_0.9-7
                                   rlang_1.1.3
  [5] magrittr_2.0.3
                                  ade4_1.7-22
  [7] matrixStats_1.3.0
                                  compiler_4.4.0
  [9] DelayedMatrixStats_1.27.0
                                  vctrs_0.6.5
 [11] pkgconfig_2.0.3
                                  crayon_1.5.2
 [13] fastmap_1.1.1
                                  XVector_0.45.0
 [15] labeling_0.4.3
                                  caTools_1.18.2
 [17] utf8_1.2.4
                                  Rsamtools_2.21.0
 [19] promises_1.3.0
                                  rmarkdown_2.26
 [21] UCSC.utils_1.1.0
                                  xfun_0.43
 [23] zlibbioc_1.51.0
                                  GenomeInfoDb_1.41.0
 [25] jsonlite_1.8.8
                                  later_1.3.2
 [27] rhdf5filters_1.17.0
                                  DelayedArray_0.31.0
 [29] Rhdf5lib_1.27.0
                                  BiocParallel_1.39.0
 [31] parallel_4.4.0
                                  R6_2.5.1
 [33] limma_3.61.0
                                   rtracklayer_1.65.0
 [35] GenomicRanges_1.57.0
                                  Rcpp_1.0.12
 [37] SummarizedExperiment_1.35.0 iterators_1.0.14
 [39] knitr_1.46
                                  R.utils_2.12.3
 [41] IRanges_2.39.0
                                  httpuv_1.6.15
```

```
[43] Matrix_1.7-0
                                   splines_4.4.0
[45] tidyselect_1.2.1
                                   abind_1.4-5
                                   doParallel_1.0.17
[47] yaml_2.3.8
[49] gplots_3.1.3.1
                                   codetools_0.2-20
[51] curl_5.2.1
                                   lattice_0.22-6
[53] tibble_3.2.1
                                   withr_3.0.0
[55] Biobase_2.65.0
                                   shiny_1.8.1.1
[57] evaluate_0.23
                                   Biostrings_2.73.0
[59] shinycssloaders_1.0.0
                                   pillar_1.9.0
[61] BiocManager_1.30.22
                                   MatrixGenerics_1.17.0
[63] DSS_2.53.0
                                   KernSmooth_2.23-22
[65] DT_0.33
                                   foreach_1.5.2
[67] stats4_4.4.0
                                   generics_0.1.3
[69] RCurl_1.98-1.14
                                   S4Vectors_0.43.0
[71] ggplot2_3.5.1
                                   sparseMatrixStats_1.17.0
[73] munsell_0.5.1
                                   scales_1.3.0
[75] xtable_1.8-4
                                   BiocStyle_2.33.0
[77] gtools_3.9.5
                                   glue_1.7.0
[79] tools_4.4.0
                                   BiocIO_1.15.0
[81] data.table_1.15.4
                                   BSgenome_1.73.0
[83] locfit_1.5-9.9
                                   {\tt GenomicAlignments\_1.41.0}
[85] XML_3.99-0.16.1
                                   rhdf5_2.49.0
[87] grid_4.4.0
                                   colorspace_2.1-0
[89] GenomeInfoDbData_1.2.12
                                   HDF5Array_1.33.0
[91] restfulr_0.0.15
                                   cli_3.6.2
[93] aods3_0.4-1.2
                                   fansi_1.0.6
[95] S4Arrays_1.5.0
                                   dplyr_1.1.4
[97] gtable_0.3.5
                                   R.methodsS3_1.8.2
[99] DESeq2_1.45.0
                                   digest_0.6.35
[101] BiocGenerics_0.51.0
                                   ggrepel_0.9.5
                                   farver_2.1.1
[103] SparseArray_1.5.0
[105] htmlwidgets_1.6.4
                                   rjson_0.2.21
[107] factoextra_1.0.7
                                   htmltools_0.5.8.1
[109] R.oo_1.26.0
                                   lifecycle_1.0.4
[111] httr_1.4.7
                                   statmod_1.5.0
[113] mime_0.12
                                   MASS_7.3-60.2
```

References

- [1] Michael I. Love, Wolfgang Huber, and Simon Anders. Moderated estimation of fold change and dispersion for RNA-seq data with DESeq2. *Genome Biology*, 15(12):550, 2014. doi:10.1186/s13059-014-0550-8.
- [2] Hélène Lopez-Maestre, Lilia Brinza, Camille Marchet, Janice Kielbassa, Sylvère Bastien, Mathilde Boutigny, David Monnin, Adil El Filali, Claudia Marcia Carareto, Cristina Vieira, Franck Picard, Natacha Kremer, Fabrice Vavre, Marie-France Sagot, and Vincent Lacroix. SNP calling from RNA-seq data without a reference genome: identification, quantification, differential analysis and impact on the protein sequence. *Nucleic Acids Research*, 44(19):e148, 2016. doi:10.1093/nar/gkw655.

- [3] Clara Benoit-Pilven, Camille Marchet, Emilie Chautard, Leandro Lima, Marie-Pierre Lambert, Gustavo Sacomoto, Amandine Rey, Cyril Bourgeois, Didier Auboeuf, and Vincent Lacroix. Annotation and differential analysis of alternative splicing using de novo assembly of rnaseq data. bioRxiv, 2016. URL: https://www.biorxiv.org/content/early/2016/09/12/074807, arXiv:https://www.biorxiv.org/content/early/2016/09/12/074807.full.pdf, doi:10.1101/074807.
- [4] Gustavo A. T. Sacomoto, Janice Kielbassa, Rayan Chikhi, Raluca Uricaru, Pavlos Antoniou, Marie-France Sagot, Pierre Peterlongo, and Vincent Lacroix. KISSPLICE: de-novo calling alternative splicing events from RNA-seq data. *BMC Bioinformatics*, 13(6):S5, 2012. doi:10.1186/1471-2105-13-S6-S5.
- [5] Yoav Benjamini and Yosef Hochberg. Controlling the False Discovery Rate: A Practical and Powerful Approach to Multiple Testing. *Journal of the Royal Statistical Society. Series B (Methodological)*, 57(1):289–300, 1995. URL: http://www.jstor.org/stable/2346101.
- [6] Hao Wu, Chi Wang, and Zhijin Wu. A new shrinkage estimator for dispersion improves differential expression detection in RNA-seq data. *Biostatistics*, 14(2):232–43, 2013. doi:10.1093/biostatistics/kxs033.
- [7] Hao Feng, Karen N. Conneely, and Hao Wu. A Bayesian hierarchical model to detect differentially methylated loci from single nucleotide resolution sequencing data. *Nucleic Acids Research*, 42(8):e69, 2014. doi:10.1093/nar/gku154.
- [8] Hao Wu, Tianlei Xu, Hao Feng, Li Chen, Ben Li, Bing Yao, Zhaohui Qin, Peng Jin, and Karen N. Conneely. Detection of differentially methylated regions from whole-genome bisulfite sequencing data without replicates. *Nucleic Acids Research*, 43(21):e141, 2015. doi:10.1093/nar/gkv715.
- [9] Yongseok Park and Hao Wu. Differential methylation analysis for BS-seq data under general experimental design. *Bioinformatics*, 32(10):1446, 2016. doi:10.1093/bioinformatics/btw026.
- [10] Sarah Djebali, Carrie A. Davis, Angelika Merkel, Alex Dobin, Timo Lassmann, Ali Mortazavi, Andrea Tanzer, Julien Lagarde, Wei Lin, Felix Schlesinger, Chenghai Xue, Georgi K. Marinov, Jainab Khatun, Brian A. Williams, Chris Zaleski, Joel Rozowsky, Maik Roder, Felix Kokocinski, Rehab F. Abdelhamid, Tyler Alioto, Igor Antoshechkin, Michael T. Baer, Nadav S. Bar, Philippe Batut, Kimberly Bell, Ian Bell, Sudipto Chakrabortty, Xian Chen, Jacqueline Chrast, Joao Curado, Thomas Derrien, Jorg Drenkow, Erica Dumais, Jacqueline Dumais, Radha Duttagupta, Emilie Falconnet, Meagan Fastuca, Kata Fejes-Toth, Pedro Ferreira, Sylvain Foissac, Melissa J. Fullwood, Hui Gao, David Gonzalez, Assaf Gordon, Harsha Gunawardena, Cedric Howald, Sonali Jha, Rory Johnson, Philipp Kapranov, Brandon King, Colin Kingswood, Oscar J. Luo, Eddie Park, Kimberly Persaud, Jonathan B. Preall, Paolo Ribeca, Brian Risk, Daniel Robyr, Michael Sammeth, Lorian Schaffer, Lei-Hoon See, Atif Shahab, Jorgen Skancke, Ana Maria Suzuki, Hazuki Takahashi, Hagen Tilgner, Diane Trout, Nathalie Walters, Huaien Wang, John Wrobel, Yanbao Yu, Xiaoan Ruan, Yoshihide Hayashizaki, Jennifer Harrow, Mark Gerstein, Tim Hubbard, Alexandre Reymond, Stylianos E. Antonarakis, Gregory Hannon, Morgan C. Giddings, Yijun Ruan, Barbara Wold, Piero Carninci, Roderic Guigo, and Thomas R. Gingeras. Landscape of transcription in human cells. Nature, 489(7414):101-108, 2012. doi:10.1038/nature11233.

- [11] Alexander Dobin, Carrie A. Davis, Felix Schlesinger, Jorg Drenkow, Chris Zaleski, Sonali Jha, Philippe Batut, Mark Chaisson, and Thomas R. Gingeras. STAR: ultrafast universal RNA-seq aligner. *Bioinformatics*, 29(1):15–21, 2013. doi:10.1093/bioinformatics/bts635.
- [12] Tuuli Lappalainen, Michael Sammeth, Marc R. Friedländer, Peter A. C. 't Hoen, Jean Monlong, Manuel A. Rivas, Mar Gonzàlez-Porta, Natalja Kurbatova, Thasso Griebel, Pedro G. Ferreira, Matthias Barann, Thomas Wieland, Liliana Greger, Maarten van Iterson, Jonas Almlöf, Paolo Ribeca, Irina Pulyakhina, Daniela Esser, Thomas Giger, Andrew Tikhonov, Marc Sultan, Gabrielle Bertier, Daniel G. MacArthur, Monkol Lek, Esther Lizano, Henk P. J. Buermans, Ismael Padioleau, Thomas Schwarzmayr, Olof Karlberg, Halit Ongen, Helena Kilpinen, Sergi Beltran, Marta Gut, Katja Kahlem, Vyacheslav Amstislavskiy, Oliver Stegle, Matti Pirinen, Stephen B. Montgomery, Peter Donnelly, Mark I. McCarthy, Paul Flicek, Tim M. Strom, The Geuvadis Consortium, Hans Lehrach, Stefan Schreiber, Ralf Sudbrak, Angel Carracedo, Stylianos E. Antonarakis, Robert Häsler, Ann-Christine Syvänen, Gert-Jan van Ommen, Alvis Brazma, Thomas Meitinger, Philip Rosenstiel, Roderic Guigó, Ivo G. Gut, Xavier Estivill, and Emmanouil T. Dermitzakis. Transcriptome and genome sequencing uncovers functional variation in humans. Nature, 501(7468):506–11, 2013. doi:10.1038/nature12531.